

Subscription / Sale    Cooling-Off    Redemption / Repurchase    Switching

In accordance with the requirements of the Capital Markets and Services Act 2007 (CMSA), this Transaction Form should not be circulated unless accompanied by the latest Master Prospectus / Prospectus / Information Memorandum and Supplemental(s) / Replacement thereto (if any) and Product Highlights Sheet. You should read and understand the contents of the latest Master Prospectus / Prospectus / Information Memorandum and Supplemental(s) / Replacement thereto (if any) and Product Highlights Sheet and the Terms and Conditions as specified overleaf before completing this form. Please complete this form in BLOCK LETTERS and tick (✓) where appropriate.

Individual    Joint    Corporate

Related Party    Staff

**1. PARTICULARS OF FIRST INDIVIDUAL APPLICANT**

\* Full Name (as per MyKad / Passport)

\_\_\_\_\_

\* MyKad No.: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Passport No.: \_\_\_\_\_

**Sole Proprietor / Sole Trader**

Name (as in Certificate of Incorporation)

\_\_\_\_\_

Company Registration No.: \_\_\_\_\_

**2. PARTICULARS OF JOINT APPLICANT / DESIGNATED SECOND ACCOUNT HOLDER**

Full Name (as per MyKad / Passport / Birth Certificate)

\_\_\_\_\_

MyKad No.: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Passport / Birth Certificate No.: \_\_\_\_\_

**3. PARTICULARS OF CORPORATE APPLICANT**

Name (as in Certificate of Incorporation)

\_\_\_\_\_

Company Registration No.: \_\_\_\_\_

Date of Incorporation: \_\_\_\_\_ - \_\_\_\_\_ - \_\_\_\_\_

Contact Person: \_\_\_\_\_

Designation: \_\_\_\_\_

**4. SUBSCRIPTION / SALE DETAILS**

Fund Name	Initial Subscription/Sale	Additional Subscription/Sale	Currency	Subscription/Sale Amount	Distribution Instruction (only applicable for initial investment)
1. (Holder No.: _____ )	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> Reinvest <input type="checkbox"/> Credit to Bank Account (item 10)
2. (Holder No.: _____ )	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> Reinvest <input type="checkbox"/> Credit to Bank Account (item 10)
3. (Holder No.: _____ )	<input type="checkbox"/>	<input type="checkbox"/>			<input type="checkbox"/> Reinvest <input type="checkbox"/> Credit to Bank Account (item 10)

- Note:
- Income distribution (if any) will be reinvested in further units of the same fund if there is no instruction.
  - Distribution less than RM50.00 shall be automatically reinvested.
  - For distribution instruction credit to bank account, please update us your bank particulars if you have made any changes in order to avoid unsuccessful payment.
  - Unclaimed distribution (if any) will be automatically reinvested as additional units at the expiry of the distribution warrant based on the Manager's buying price on the expiry date.

**5. PAYMENT MODE FOR SUBSCRIPTION / SALE**

Telegraphic Transfer    Cheque / Bank Draft (Bank): \_\_\_\_\_ Cheque No.: \_\_\_\_\_

#### 6. COOLING-OFF

I / We would like to exercise my / our cooling-off right for my / our investment in:

Fund Name	Purchased Date
1.	
2.	

*Note: Please proceed to item 10 for the bank account's particulars to be credited to.*

#### 7. REDEMPTION / REPURCHASE REQUEST

Fund Name	Currency	Full	Partial (Units / Amount)	
1. (Holder No.: )		<input type="checkbox"/>	<input type="checkbox"/> _____(Units)	<input type="checkbox"/> _____(Amount)
2. (Holder No.: )		<input type="checkbox"/>	<input type="checkbox"/> _____(Units)	<input type="checkbox"/> _____(Amount)
3. (Holder No.: )		<input type="checkbox"/>	<input type="checkbox"/> _____(Units)	<input type="checkbox"/> _____(Amount)

**Applicable for Full Redemption / Repurchase Only (Please tick one of the following options):**

- Please proceed to close my / our investment and investment related accounts opened with you.
- I / We do not wish to close my / our investment and investment related accounts opened with you. You may proceed to close my / our investment and investment related accounts opened with you after one (1) calendar year with no activity from the date of the full redemption / repurchase request.

*Note: Please proceed to item 10 for the bank account's particulars to be credited to.*

#### 8. SWITCHING REQUEST

Switching Out (Fund Name)	Currency	Full Switching	Partial Switching (Units / Amount)	Switching In (Fund Name)	Distribution Instruction (only applicable for initial investment)
1. (Holder No.: )		<input type="checkbox"/>	<input type="checkbox"/> Units _____ <input type="checkbox"/> Amount _____	1. (Holder No.: )	<input type="checkbox"/> Reinvest <input type="checkbox"/> Credit to Bank Account (item 10)
2. (Holder No.: )		<input type="checkbox"/>	<input type="checkbox"/> Units _____ <input type="checkbox"/> Amount _____	2. (Holder No.: )	<input type="checkbox"/> Reinvest <input type="checkbox"/> Credit to Bank Account (item 10)
3. (Holder No.: )		<input type="checkbox"/>	<input type="checkbox"/> Units _____ <input type="checkbox"/> Amount _____	3. (Holder No.: )	<input type="checkbox"/> Reinvest <input type="checkbox"/> Credit to Bank Account (item 10)

**\*9. BANK ACCOUNT PARTICULARS FOR INCOME DISTRIBUTION / COOLING-OFF / REDEMPTION / REPURCHASE**

*Bank Name	
*Account Name	
*Account Number	
*Account Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current
*Account Ownership	<input type="checkbox"/> Single <input type="checkbox"/> Joint

**\*10. SOPHISTICATED INVESTOR DECLARATION (SEE CLAUSE 6 OF THE TERMS AND CONDITIONS)**

I / We hereby confirm that I am / we are sophisticated investor(s).       Yes       No

**\*11. DECLARATION AND SIGNATURE(S)**

- I / We have read and fully understood all the notes and the terms and conditions in this form, in the Master Deed, the Deed and the Supplementary Deed (if any), the Master Prospectus, the Prospectus and the Supplementary(ies) / Replacement Prospectus (if any), the Information Memorandum and the Supplementary(ies) / Replacement Information Memorandum (if any) and the Product Highlights Sheet (collectively as Disclosure Documents) for the Fund(s) to be invested in. I am / We are agree to be bound by the Disclosure Documents and aforementioned notes, terms and conditions.
- I / We acknowledge that I / We have received a copy of the Unit Trust Loan Financing Risk Disclosure Statement (overleaf) and understand its contents.
- I / We hereby declare that the particulars given are true and correct and I / We have not withheld any information which may influence the acceptance of this application.
- I / We acknowledge and accept that Berjaya Mutual has the absolute discretion to rely on this confirmation from me / us and I / we undertake to indemnify and hold harmless Berjaya Mutual, its employees and agents against all costs, expenses, loss of liabilities, claims and demands arising out of this confirmation.
- I am / We are aware of the fees and charges that I / We will incur directly or indirectly when investing in the fund(s).

Company  
Stamp

\_\_\_\_\_  
Signature of First Applicant /  
Authorised Signatory (Corporate Applicant)  
Date:

\_\_\_\_\_  
Signature of Second Applicant /  
Authorised Signatory (Corporate Applicant)  
Date:

For Distributor / Introducer Use Only		For Office Use Only	
<i>IUTA</i>	<i>UTC / MR</i>		
Name : _____	Name : _____	Date & Time Received : _____	Transaction Date : _____
Branch : _____	Code : _____	Input By : _____	Holder No. : _____
Sales Charge : _____	Sales Charge : _____	Checked By : _____	
Rubber Stamp : _____	Signature : _____	Approved By : _____	
Date : _____	Date : _____		

## TERMS AND CONDITIONS

### 1. SUBSCRIPTION / SALE - PAYMENT TO MANAGER

- a. Payment for investment may be made through any of our authorised representatives / agents.
- b. Investment payment should be made payable to “**Art-Berjaya Mutual Wholesale Cash Fund**” and remitted to the Manager’s account in Ringgit Malaysia at Bank :-

Bank	Account No.
Maybank KL Main Branch	514012488642

- c. All inward bank service charges including outstation cheque commissions and telegraphic transfer charges are to be borne by the investor.
- d. The Manager shall not be liable to pay any interest to the investor(s) for any monies held by the Manager for the investor for any reason whatsoever.
- e. The Manager will process all investments upon receipt of cleared payment and the completed documents. Purchase of units will be based on Manager’s Selling Price on the Same Business Day if the Manager receives the purchase request before 4:00pm. For purchase request that is received by the Manager after 4:00pm, the units will be based on the Manager’s Selling Price on the Next Business Day (Please refer to the Prospectus on forward pricing).
- f. A Sales Charge will be imposed as stated in the prospectus, information memorandum or disclosure document of the relevant fund.
- g. The minimum initial and additional amount of investment are stated in the prospectus, information memorandum or disclosure document of the relevant fund.

### 2. STATEMENTS / REPORTS / NOTICES

- a. A computer-generated Statement will be issued to the unit holder within a reasonable time as a record of each and every payment or transaction made. This Statement will be sent to the unit holder via email and unit holder may choose to opt out from the mode of email at any time via a notification to the Manager.
- b. A half-yearly Statement will be issued to summarize all transactions during the said period to enable the unit holder to keep track of his/her investment. This Statement will be sent to the unit holder via email and unit holder may choose to opt out from the mode of email at any time via a notification to the Manager.
- c. Quarterly, Interim or Annual Report of the Fund(s) will be sent to the unit holder via email and is made available at our company’s website <https://www.berjyamutual.com/> within 2 months from the end of each financial period / year. Unit holder may choose to opt out from the mode of email or download from the aforementioned website at any time via a notification to the Manager.
- d. All correspondences from the Manager shall be directed to the Principal Holder at the registered address by normal mail or email to the address provided in the account opening form or other email address informed by the unit holder from time to time.

### 3. COOLING-OFF PERIOD

- a. This right is only given to an individual investor who is investing in any unit trust fund managed by the Manager for the first time.
- b. A cooling-off request must be made within the prescribed period as stated in the prospectus, information memorandum or disclosure document of the relevant fund.
- c. Cooling-off rights are not applicable to: (i) a staff of the Manager; and / or (ii) a person registered with a body approved by the Securities Commission Malaysia to deal in unit trust.
- d. Upon receipt of the cooling-off application by the Manager, the entitled amount will be refunded to investor(s) within the prescribed period as stated in the prospectus, information memorandum or disclosure document of the relevant fund.

### 4. REDEMPTION / REPURCHASE REQUEST

- a. Repurchase of units will be based on the Manager’s Buying Price on the Same Business Day if the repurchase request is received by the Manager before 4:00pm. For any repurchase request that is received by the Manager after 4:00pm, the units will be redeemed based on the Manager’s Buying Price on the Next Business Day.
- b. For Partial Repurchase, the unit holder is required to retain a minimum of 200 units or such other number of units as the Manager may at their discretion deem appropriate. In the event this repurchase results in the unit holding of less than the minimum units mentioned, the Manager has the right to fully redeem the units held by the unit holder(s).
- c. For FULL repurchase, all the units in the account shall be redeemed and unit holder may choose to close the account or the account will be closed after one (1) calendar year with no activity from the date of full repurchase.
- d. Repurchase proceeds will be paid within ten (10) days in Ringgit Malaysia (RM) after the repurchase request is received by the Manager.
- e. Repurchase Charge will not be imposed by the Manager.
- f. Repurchase payment to third party or request for open cheque is strictly not allowed.
- g. The authorised signatories affixed in this form must be the same as per the Manager’s record.
- h. The unit holder(s) hereby agree to indemnify the Manager, Trustee and any of their agents against any actions, proceeding, claims, losses, damages, costs and expenses which may be brought against, suffered or incurred by any or all of them either directly or indirectly out of or in connection with the carrying out of this request unless due to the negligence of the Manager.
- i. The minimum units for repurchase is stated in the prospectus, information memorandum or disclosure document of the relevant fund.

#### TERMS AND CONDITIONS

##### 5. SWITCHING REQUEST

- a. Switched units will be based on the Manager's Buying Price on the Same Business Day if the Manager receives the switching request before 4:00pm. For any switching request that is received by the Manager after 4:00pm, the units will then be switched based on the Manager's Buying Price on the Next Business Day.
- b. The minimum number of units to be switched is 200 units or such other number of units as the Manager may at their discretion deem appropriate.
- c. For Partial Switching, the unit holder is required to retain a minimum of 200 units or such other number of units as the Manager may at their discretion deem appropriate. In the event this switching results in the unit holding of less than the minimum number of units mentioned, the Manager has the right to fully switch the units held by the unit holder(s).
- d. The authorised signatories affixed in this form must be the same as per the Manager's record.
- e. The unit holder(s) hereby agree to indemnify the Manager, Trustee, any of their agents and representatives against any actions, proceeding, claims, losses, damages, costs and expenses which may be brought against, suffered or incurred by any or all of them either directly or indirectly out of or in connection with carrying out this request unless due to the negligence of the Manager.

##### 6. SOPHISTICATED INVESTOR

- a. Only Sophisticated Investor may invest in a Wholesale Fund.
- b. To obtain the latest definition of Sophisticated Investor, kindly refer to the Guidelines on Sales Practices of Unlisted Capital Market Products, Schedule 1 – Qualifying Criteria of Sophisticated Investors issued by the Securities Commission Malaysia and may, from time to time, be varied.

#### **UNIT TRUST LOAN FINANCING RISK DISCLOSURE STATEMENT**

**Investing in a unit trust fund with borrowed money is riskier than investing with your own savings.**

You should assess if loan financing is suitable for you in light of your objectives, attitude to risk and financial circumstances. You should be aware of the risks, which would include the following:

- 1) The higher the margin of financing (that is, the amount of money you borrow for every ringgit of your own money which you put in as deposit or down payment), the greater the loss or gain on your investment.
- 2) You should assess whether you have the ability to service the repayments on the proposed loan. If your loan is a variable rate loan, and if interest rates rise, your total repayment amount will be increased.
- 3) If unit prices fall beyond a certain level, you may be asked to provide additional acceptable collateral (where units are used as collateral) or pay additional amounts on top of your normal installments. If you fail to comply within the time prescribed, the units may be sold at a lower net asset value per unit as compared to the net asset value per unit at the point of purchase towards settling the loan.
- 4) Returns on unit trusts are not guaranteed and may not be earned evenly over time. This means that there may be some years where returns are high and other years where losses are experienced. Whether you eventually realise a gain or loss may be affected by the timing of the sale of your units. The value of units may fall just when you want your money back even though the investment may have done well in the past.

This brief statement cannot disclose all the risks and other aspects of loan financing. You should therefore carefully study the terms and conditions before you decide to take a loan. If you are in doubt in respect of any aspect of this risk disclosure statement or the terms of the loan financing, you should consult the institution offering the loan.